

## OVERVIEW

The SM5841H is an 8-times oversampling (interpolation) digital filter for digital audio reproduction equipment. It accepts 16 or 18-bit input data, and outputs data in 16, 18 or 20-bit format, making a wide range of interfaces possible. It also features digital deemphasis for 3 sampling frequencies, a noise shaper to reduce quantization noise, a DC offset output and other circuits.

## FEATURES

### Functions

- 2-channel processing
- 8-times (8fs) oversampling (interpolation)
- Digital deemphasis ( $f_s = 48/44.1/32$  kHz)
- Serial input data  
2s complement, MSB first, 16/18-bit
- Serial output data  
2s complement, MSB first, 16/18/20-bit
- 1st-order noise shaper (for 16/18-bit output only)
- 256fs/384fs system clock selectable
- Output data DC offset (approximately 0.8%)  
ON/OFF control
- TTL-compatible input/outputs
- 5 V (standard) supply
- 3.2 V operating voltage
- Molybdenum-gate CMOS

### Filter Characteristics

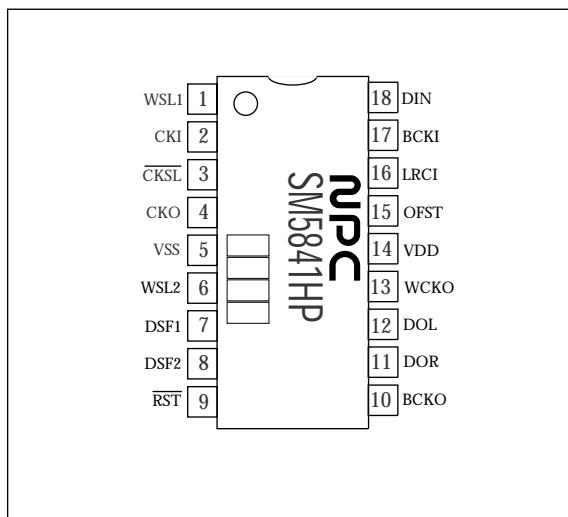
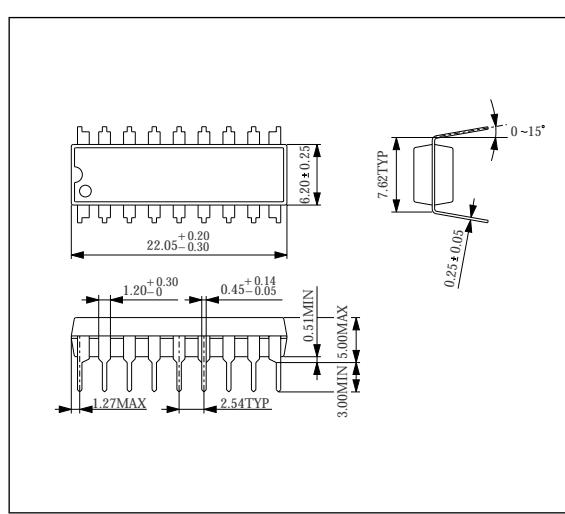
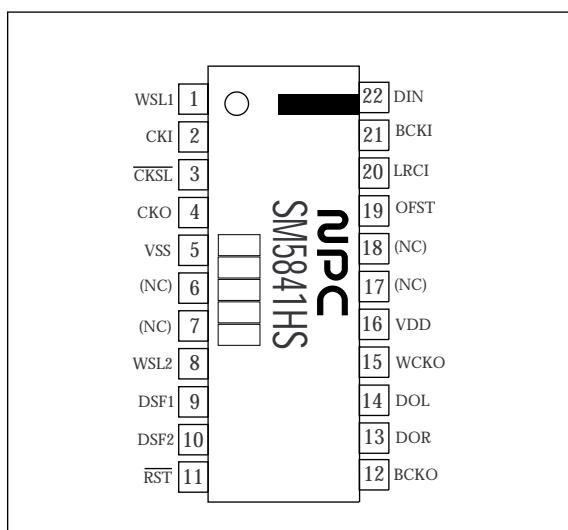
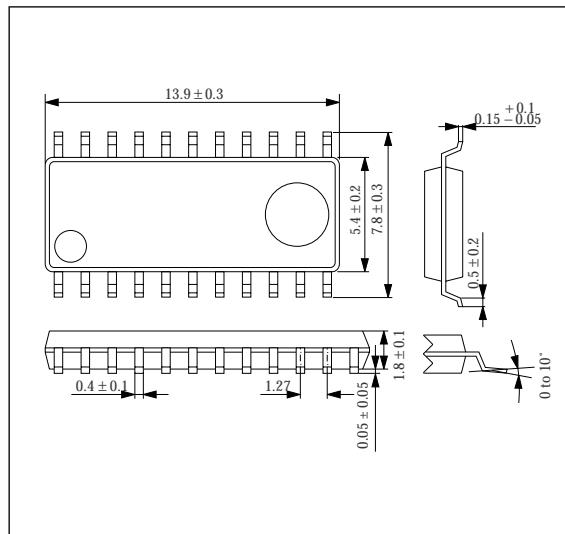
- 3-stage DC FIR interpolation filter  
1st stage ( $f_s \rightarrow 2f_s$ ), 69-tap  
2nd stage ( $2f_s \rightarrow 4f_s$ ), 13-tap  
3rd stage ( $4f_s \rightarrow 8f_s$ ), 9-tap
- IIR deemphasis filter for gain and phase characteristics close to those of analog filters
- Overflow limiter built-in

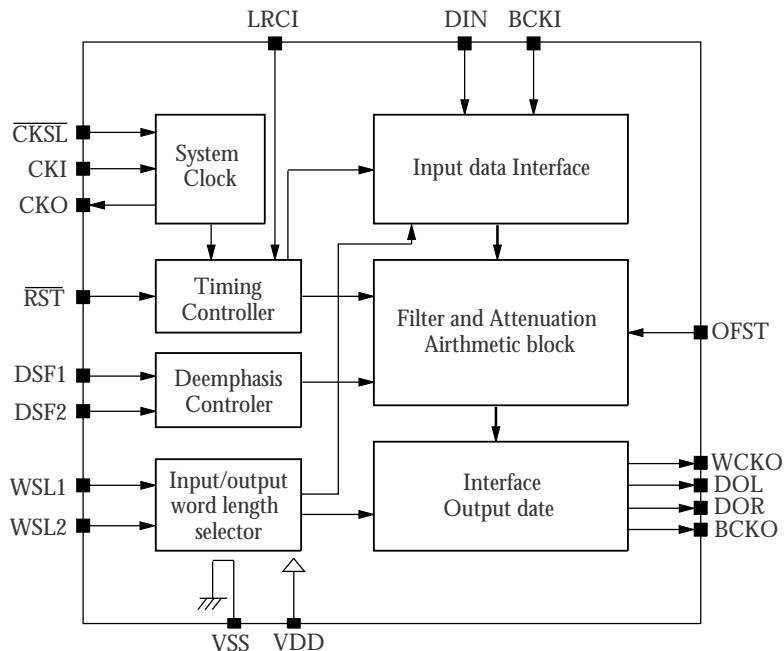
## APPLICATIONS

- Digital amplifiers
- CD players
- DAT players
- DBS systems
- PCM systems

## ORDERING INFOMATION

Device	Package
SM5841HP	18pin DIP
SM5841HS	22pin SOP

**PINOUT****18-pin DIP****PACKAGE DIMENSIONS****18-pin DIP (Unit: mm)****22-pin SOP****22-pin SOP (Unit: mm)**

**BLOCK DIAGRAM****PIN DESCRIPTION**

SOP	DIP	Name	I/O <sup>1</sup>	Description				
1	1	WSL1	Ip	Input/output data select pins				
				<b>WSL1</b>	<b>WSL2</b>	<b>Noise shaper</b>	<b>Input bit length</b>	<b>Output bit length</b>
				HIGH	HIGH	Off	18 bits	20 bits
8	6	WSL2	Ip	HIGH	LOW	On	18 bits	18 bits
				LOW	HIGH	On	16 bits	18 bits
				LOW	LOW	On	16 bits	16 bits
2	2	CKI	Ip	System clock input				
3	3	CKSL	Ip	System clock select input. 384fs when HIGH, and 256fs when LOW.				
4	4	CKO	O	System clock output. The CKI is first buffered before output on CKO.				
5	5	VSS	-	Ground				
6	-	NC	-	No connection				
7	-	NC	-	No connection				
9	7	DSF1	Ip	Deemphasis select inputs				
				<b>DSF1</b>	<b>DSF2</b>	<b>Deemphasis</b>	<b>Sampling frequency</b>	
				LOW	LOW	On	44.1 kHz	
				LOW	HIGH	On	48.0 kHz	
10	8	DSF2	Ip	HIGH	LOW	Off	-	
				HIGH	HIGH	On	32.0 kHz	
11	9	RST	Ip	System reset. Reset and initialization when RST is LOW.				
12	10	BCKO	O	Output bit clock				

SOP	DIP	Name	I/O <sup>1</sup>	Description
13	11	DOR	O	Right-channel 8fs data output
14	12	DOL	O	Left-channel 8fs data output
15	13	WCKO	O	Output word clock
16	14	VDD	-	5 V supply
17	-	NC	-	No connection
18	-	NC	-	No connection
19	15	OFST	Ip	Output data DC offset select input. Summing ON when HIGH, and OFF when LOW.
20	16	LRCI	Ip	Input data sample rate (fs) clock
21	17	BCKI	Ip	Input bit clock
22	18	DIN	Ip	Input data

1. Ip = Input with pull-up resistor

## SPECIFICATIONS

### Absolute Maximum Ratings

$V_{SS} = 0 \text{ V}$

Parameter	Symbol	Rating	Unit
Supply voltage range	$V_{DD}$	-0.3 to 7.0	V
Input voltage range	$V_{IN}$	-0.3 to $V_{DD} + 0.3$	V
Storage temperature range	$T_{slg}$	-40 to 125	°C
Power dissipation	$P_D$	250	mW
Soldering temperature	$T_{sld}$	255	°C
Soldering time	$t_{sld}$	10	s

### Recommended Operating Conditions

$V_{SS} = 0 \text{ V}$

Parameter	Symbol	Rating	Unit
Supply voltage range	$V_{DD}$	3.2 to 5.5	V
Operating temperature range	$T_{opr}$	-20 to 80	°C

## DC Electrical Characteristics

Standard voltage:  $V_{DD} = 4.5$  to  $5.5$  V,  $V_{SS} = 0$  V,  $T_a = -20$  to  $80$  °C

Parameter	Symbol	Condition	Rating			Unit
			min	typ	max	
Current consumption	$I_{DD}$	$V_{DD} = 5.0$ V <sup>1</sup>	-	-	40	mA
HIGH-level input voltage <sup>2</sup>	$V_{IH1}$		$0.7V_{DD}$	-	-	V
LOW-level input voltage <sup>2</sup>	$V_{IL1}$		-	-	$0.3V_{DD}$	V
CKI AC-coupled input voltage	$V_{INAC}$	Sine wave input	$0.3V_{DD}$	-	-	V <sub>p-p</sub>
HIGH-level input voltage <sup>3</sup>	$V_{IH2}$		2.4	-	-	V
LOW-level input voltage <sup>3</sup>	$V_{IL2}$		-	-	0.5	V
HIGH-level output voltage <sup>4</sup>	$V_{OH}$	$I_{OH} = -0.4$ mA	2.5	-	-	V
LOW-level output voltage <sup>4</sup>	$V_{OL}$	$I_{OL} = 1.6$ mA	-	-	0.4	V
CKI HIGH-level input current	$I_{IH1}$	$V_{IN} = V_{DD}$	-	10	20	µA
CKI LOW-level input current	$I_{IL1}$	$V_{IN} = 0$ V	-	10	20	µA
LOW-level input current <sup>3</sup>	$I_{IL2}$	$V_{IN} = 0$ V	-	10	20	µA
Input leakage current <sup>2, 3</sup>	$I_{LH}$	$V_{IN} = V_{DD}$	-	-	1.0	µA
Input leakage current <sup>2</sup>	$I_{LL}$	$V_{IN} = 0$ V	-	-	1.0	µA

1.  $f_{SYS} = 384fs = 20$  MHz, no output load

2. Pins CKSL, OFST

3. Pins LRCI, DIN, BCKI, DSF1, DSF2, WSL1, WSL2, RST

4. Pins CKO, DOL, DOR, BCKO, WCKO

Low voltage:  $V_{DD} = 3.2$  to  $4.5$  V,  $V_{SS} = 0$  V,  $T_a = -20$  to  $80$  °C

Parameter	Symbol	Condition	Rating			Unit
			min	typ	max	
Current consumption	$I_{DD}$	$V_{DD} = 3.4$ V <sup>1</sup>	-	-	20	mA
HIGH-level input voltage <sup>2</sup>	$V_{IH1}$		$0.7V_{DD}$	-	-	V
LOW-level input voltage <sup>2</sup>	$V_{IL1}$		-	-	$0.3V_{DD}$	V
CKI AC-coupled input voltage	$V_{INAC}$	Sine wave input	$0.3V_{DD}$	-	-	V <sub>p-p</sub>
HIGH-level input voltage <sup>3</sup>	$V_{IH2}$		2.4	-	-	V
LOW-level input voltage <sup>3</sup>	$V_{IL2}$		-	-	0.5	V
HIGH-level output voltage <sup>4</sup>	$V_{OH}$	$I_{OH} = -0.2$ mA	2.5	-	-	V
LOW-level output voltage <sup>4</sup>	$V_{OL}$	$I_{OL} = 0.8$ mA	-	-	0.4	V
CKI HIGH-level input current	$I_{IH1}$	$V_{IN} = V_{DD}$	-	-	12	µA
CKI LOW-level input current	$I_{IL1}$	$V_{IN} = 0$ V	-	-	12	µA
LOW-level input current <sup>3</sup>	$I_{IL2}$	$V_{IN} = 0$ V	-	-	12	µA
Input leakage current <sup>2, 3</sup>	$I_{LH}$	$V_{IN} = V_{DD}$	-	-	1.0	µA
Input leakage current <sup>2</sup>	$I_{LL}$	$V_{IN} = 0$ V	-	-	1.0	µA

1.  $f_{SYS} = 384fs = 18.5$  MHz, no output load

2. Pins CKSL, OFST

3. Pins LRCI, DIN, BCKI, DSF1, DSF2, WSL1, WSL2, RST

4. Pins CKO, DOL, DOR, BCKO, WCKO

## AC Electrical Characteristics

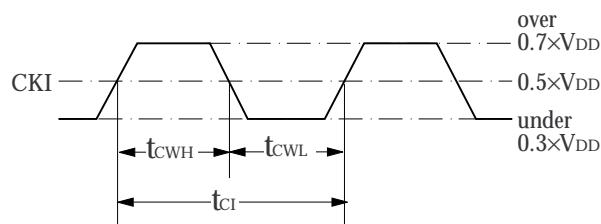
### Clock (CKI)

Standard voltage:  $V_{DD} = 4.5$  to  $5.5$  V,  $V_{SS} = 0$  V,  $T_a = -20$  to  $80$  °C

Parameter	Symbol	Condition		Rating			Unit
		$\overline{CKSL}$	System clock	min	typ	max	
HIGH-level clock pulsewidth	$t_{CWH}$	HIGH	384fs	23	-	250	ns
		LOW	256fs	35	-	500	
LOW-level clock pulsewidth	$t_{CWL}$	HIGH	384fs	23	-	250	ns
		LOW	256fs	35	-	500	
Clock pulse cycle	$t_{CI}$	HIGH	384fs	50	-	500	ns
		LOW	256fs	76	-	1000	

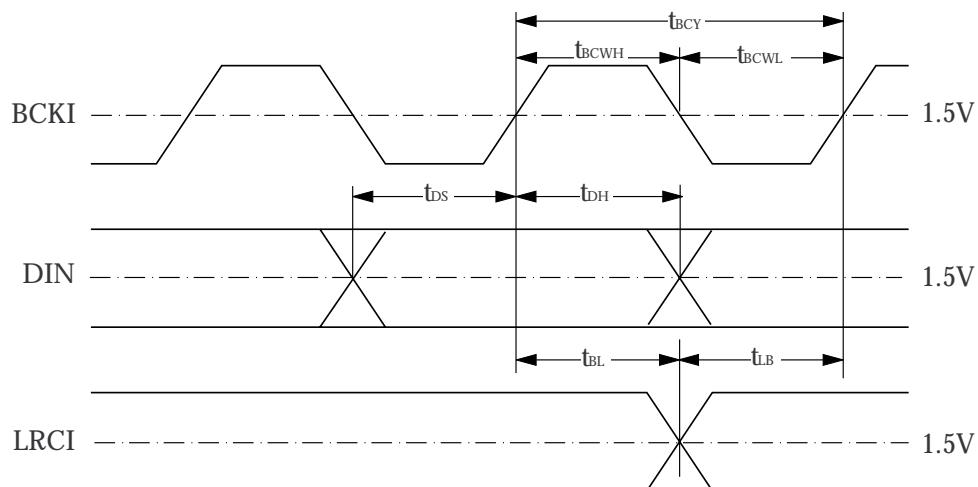
Low voltage:  $V_{DD} = 3.2$  to  $4.5$  V,  $V_{SS} = 0$  V,  $T_a = -20$  to  $80$  °C

Parameter	Symbol	Condition		Rating			Unit
		$\overline{CKSL}$	System clock	min	typ	max	
HIGH-level clock pulsewidth	$t_{CWH}$	HIGH	384fs	25	-	250	ns
		LOW	256fs	50	-	500	
LOW-level clock pulsewidth	$t_{CWL}$	HIGH	384fs	25	-	250	ns
		LOW	256fs	50	-	500	
Clock pulse cycle	$t_{CI}$	HIGH	384fs	54	-	500	ns
		LOW	256fs	108	-	1000	



**Serial input timing (BCKI, DI, LRCI)** $V_{DD} = 3.2 \text{ to } 5.5 \text{ V}$ ,  $V_{SS} = 0 \text{ V}$ ,  $T_a = -20 \text{ to } 80 \text{ }^\circ\text{C}$ 

Parameter	Symbol	Rating			Unit
		min	typ	max	
BCKI HIGH-level pulsewidth	$t_{BCWH}$	50	-	-	ns
BCKI LOW-level pulsewidth	$t_{BCWL}$	50	-	-	ns
BCKI pulse cycle	$t_{BCY}$	100	-	-	ns
DIN setup time	$t_{DS}$	50	-	-	ns
DIN hold time	$t_{DH}$	50	-	-	ns
Last BCKI rising edge to LRCI edge	$t_{BL}$	50	-	-	ns
LRCI edge to first BCKI rising edge	$t_{LB}$	50	-	-	ns

**Reset timing ( $\overline{RST}$ )** $V_{DD} = 3.2 \text{ to } 5.5 \text{ V}$ ,  $V_{SS} = 0 \text{ V}$ ,  $T_a = -20 \text{ to } 80 \text{ }^\circ\text{C}$ 

Parameter	Symbol	Condition	Rating			Unit
			min	typ	max	
$\overline{RST}$ LOW-level reset pulsewidth	$t_{RST}$	At power-ON	1	-	-	$\mu\text{s}$
		At all other times	50	-	-	ns

**Control inputs (DSF1, DSF2)** $V_{DD} = 3.2 \text{ to } 5.5 \text{ V}$ ,  $V_{SS} = 0 \text{ V}$ ,  $T_a = -20 \text{ to } 80 \text{ }^\circ\text{C}$ 

Parameter	Symbol	Condition	Rating			Unit
			min	typ	max	
Rise time	$t_r$	10 to 90% level	-	-	100	ns
Fall time	$t_f$	90 to 10% level	-	-	100	ns

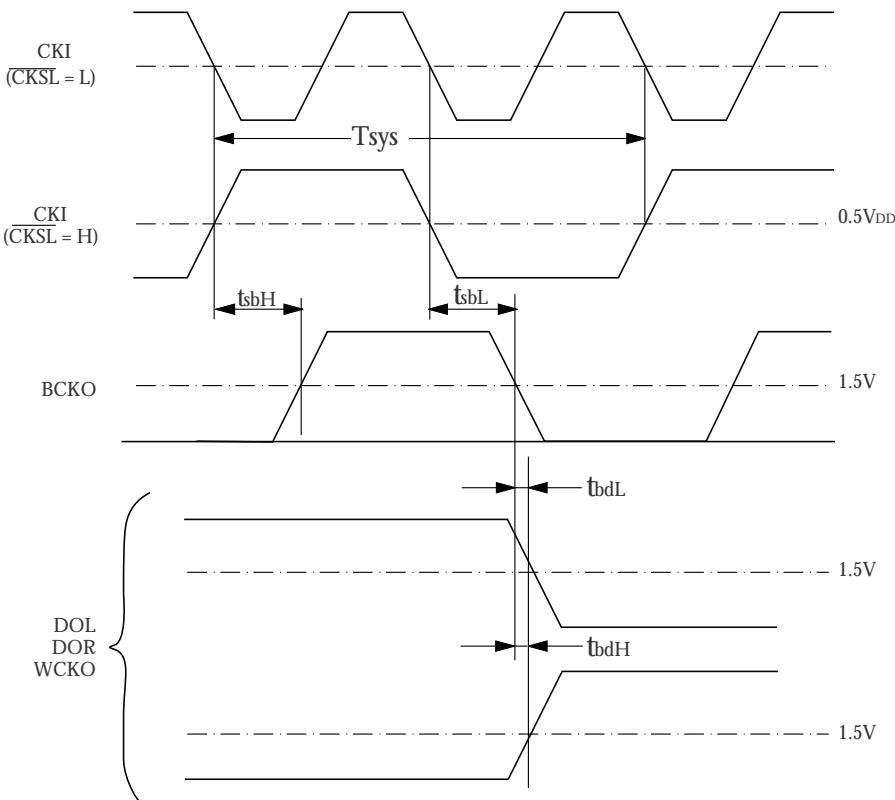
## Output timing

Standard voltage:  $V_{DD} = 4.5$  to  $5.5$  V,  $V_{SS} = 0$  V,  $T_a = -20$  to  $80$  °C,  $C_L = 15$  pF

Parameter	Symbol	Condition	Rating			Unit
			min	typ	max	
CKI to CKO delay	$t_{CKO}$	CKI fall to CKO fall	-	-	30	ns
CKI to BCKO delay	$t_{sbH}$	CKI fall to BCKO rise	10	-	60	ns
	$t_{sbL}$	CKI fall to BCKO fall	10	-	60	
BCKO to DOL, DOR, WCKO delay	$t_{bdH}$	BCKO fall to output rise	0	-	20	ns
	$t_{bdL}$	BCKO fall to output fall	0	-	20	
$\overline{RST}$ to DOL, DOR delay	$t_{dH}$	$\overline{RST}$ fall to output fall	-	-	40	ns
	$t_{dL}$	$\overline{RST}$ rise to output rise	-	-	40	

Low voltage:  $V_{DD} = 3.2$  to  $4.5$  V,  $V_{SS} = 0$  V,  $T_a = -20$  to  $80$  °C,  $C_L = 15$  pF

Parameter	Symbol	Condition	Rating			Unit
			min	typ	max	
CKI to CKO delay	$t_{CKO}$	CKI fall to CKO fall	-	-	45	ns
CKI to BCKO delay	$t_{sbH}$	CKI fall to BCKO rise	10	-	100	ns
	$t_{sbL}$	CKI fall to BCKO fall	10	-	100	
BCKO to DOL, DOR, WCKO delay	$t_{bdH}$	BCKO fall to output rise	0	-	30	ns
	$t_{bdL}$	BCKO fall to output fall	0	-	30	
$\overline{RST}$ to DOL, DOR delay	$t_{dH}$	$\overline{RST}$ fall to output fall	-	-	60	ns
	$t_{dL}$	$\overline{RST}$ rise to output rise	-	-	60	

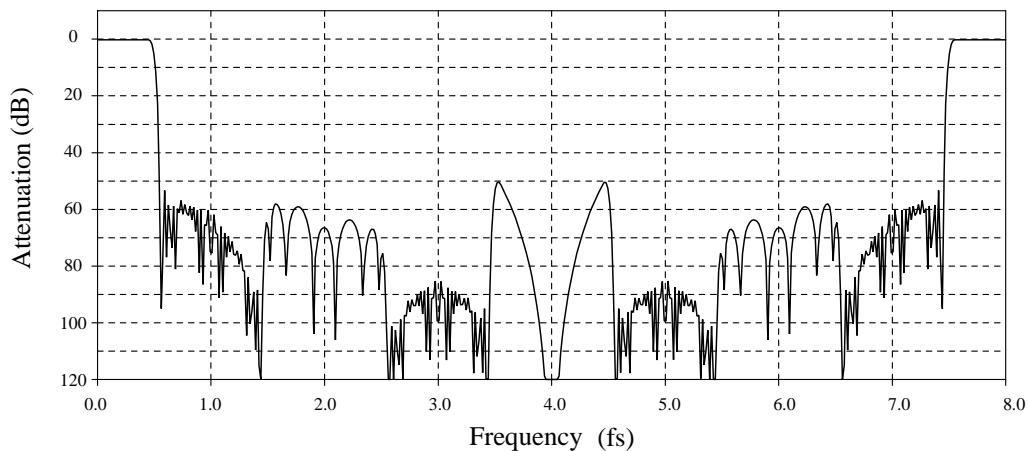


## Filter Characteristics

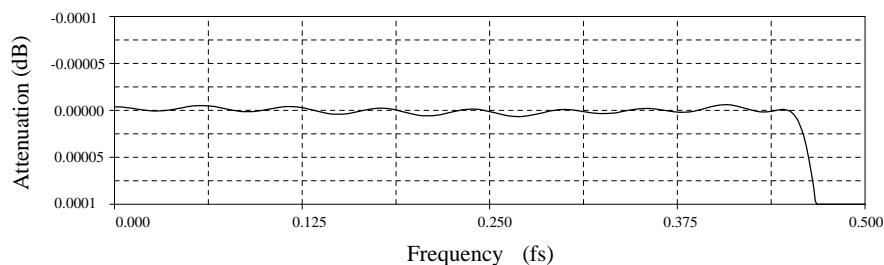
### 8-times interpolation filter

Parameter	Frequency		Rating (dB)		
	f	@ $f_s = 44.1$ kHz	min	typ	max
Passband attenuation	0 to 0.4535fs	0 to 20 kHz	-	0.20	-
Passband ripple			-0.03	-	+0.03
Stopband attenuation	0.5465fs to 3.4535fs	24.1 to 152 kHz	53	-	-
	3.4535fs to 4.5465fs	152 to 201 kHz	50	-	-
	4.5465fs to 7.4535fs	201 to 328 kHz	53	-	-

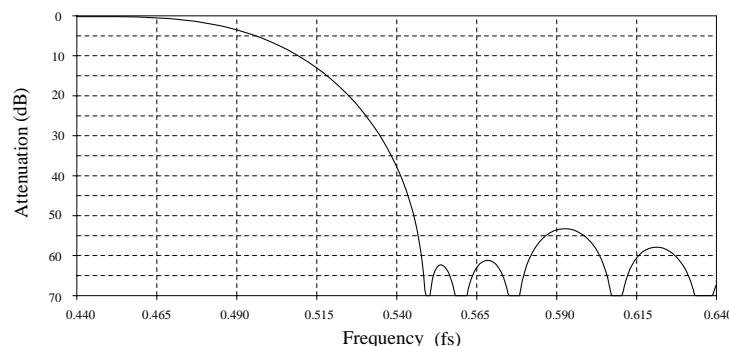
### 8fs filter response with deemphasis OFF



### 8fs filter passband response with deemphasis OFF



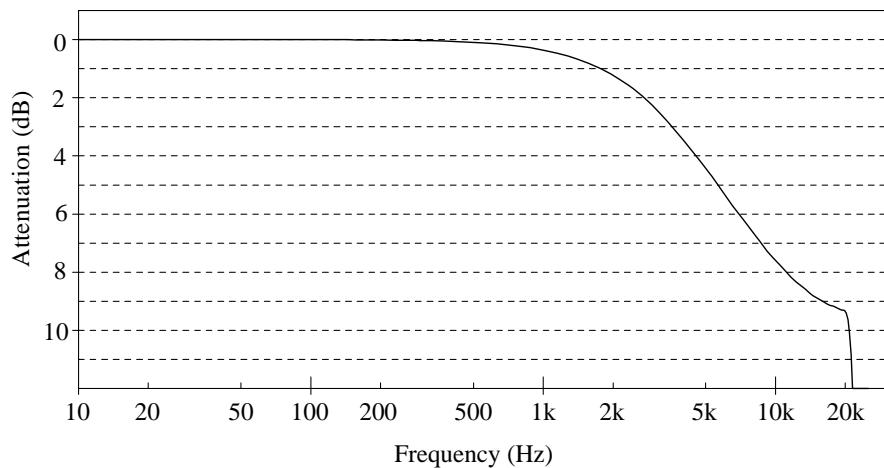
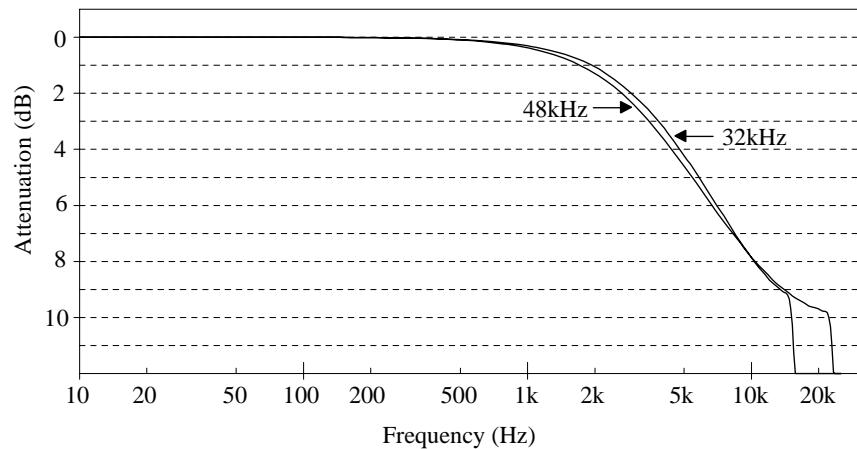
### 8fs filter band transition response with deemphasis OFF



**Deemphasis filter**

Parameter	Sampling frequency		
	32 kHz	44.1 kHz	48 kHz
Passband bandwidth (kHz)	0 to 14.5	0 to 20.0	0 to 21.7
Deviation from ideal characteristic <sup>1</sup>	Attenuation (dB)	-0.40 to +0.40	-0.05 to +0.15
	Phase, $\theta$ (°)	-2 to 19	-1 to 15

1. The maximum deviation from an ideal filter with 0 dB attenuation and 0° phase characteristics for a 1 kHz input signal.

**Passband response with deemphasis ON (fs = 44.1 kHz)****Passband response with deemphasis ON (fs = 32/48 kHz)**

## FUNCTIONAL DESCRIPTION

The basic arithmetic block is shown in figure 1, and the function of each block is described in the following sections.

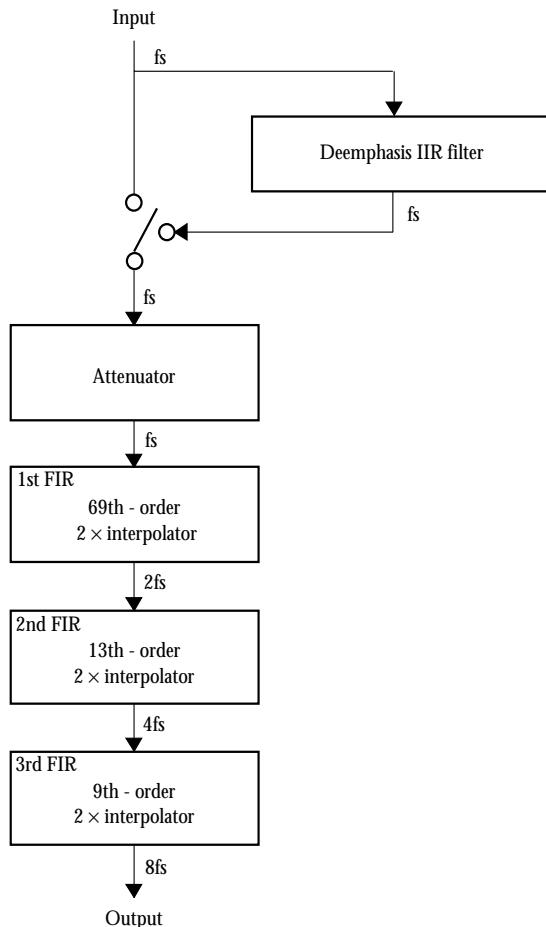


Figure 1. Arithmetic block diagram

### 8-times Oversampling (Interpolation)

The interpolation arithmetic block is comprised of 3 cascaded, 2-times FIR interpolation filters, as shown in figure 1.

The input signal is sampled at rate  $fs$ , and then 8-times oversampling data is output. Sampling noise in the 0.5465 $fs$  to 7.4535 $fs$  stopband is removed by the interpolation filter.

### Digital Deemphasis (DSF1, DSF2)

The digital deemphasis filter has the same construction as analog filters. It is implemented as an IIR filter to faithfully reproduce the gain and phase characteristics of standard analog deemphasis filters. The filter coefficients for  $fs = 32.0/44.1/48.0$  kHz sampling frequency are selected by DSF1 and DSF2 when the sampling frequency is specified, as shown in the following table.

DSF1	DSF2	Deemphasis	Sampling frequency
LOW	LOW	On	44.1 kHz
LOW	HIGH	On	48.0 kHz
HIGH	LOW	Off	-
HIGH	HIGH	On	32.0 kHz

## System Clock (CKI, CKO, CKSL)

Two system clock frequencies, 384fs and 256fs, can be used. The clock is input on CKI. The CKI input inverter has a feedback resistor to allow AC-coupled input clocks. The system clock is also buffered and then output on CKO. The system clock frequency selection and the internal clock frequency are shown in the following table.

Parameter	CKSL	
	HIGH	LOW
CKI input system clock frequency ( $f_{SYS}$ )	384fs	256fs
CKO clock frequency	384fs	256fs
Internal clock frequency	128fs	128fs
Serial output clock frequency	192fs	256fs

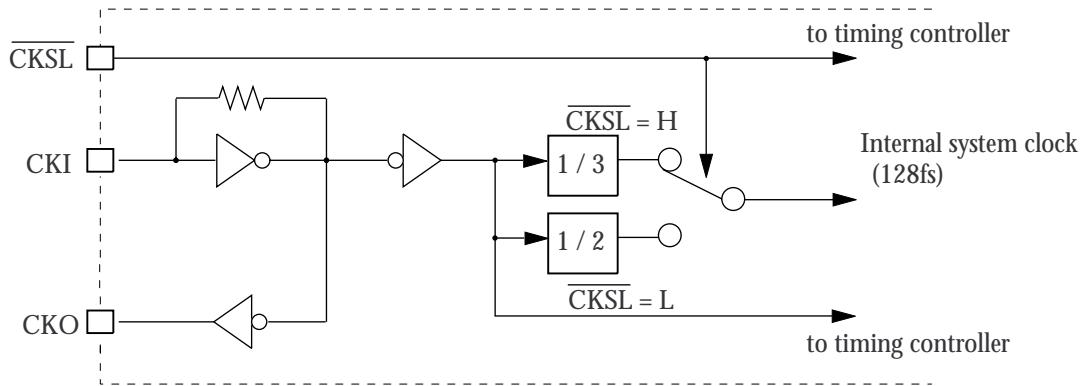


Figure 2. Clock generator circuit

## Noise Shaper and I/O Data Length (WSL1, WSL2)

The SM5841H has functions that can be used to suppress the level of requantization noise due to the inherent arithmetic rounding-off that occurs in digital signal processing.

### ■ 16/18-bit input

The input interface accepts 16 and 18-bit input source data. That means that if 16-bit source data is digitally processed, for example in a sound field control or other DSP, the output can be input to the SM5841H without the same need for rounding-off, thereby avoiding the requantization noise that would otherwise occur.

### ■ 16/18/20-bit output

The output interface can support 18 and 20-bit output data, making connection to 18 or 20-bit D/A converters possible. As a result, the requantization noise generated after digital processing can be greatly reduced.

### ■ Noise shaper function

The 1st-order noise shaper processing occurs on the digital filter output. It reduces the requantization noise for 16 and 18-bit input signals to levels inherent in 18 and 20-bit output modes, respectively. The noise shaper does no processing on 20-bit output data.

There are 4 input data and output data length combinations possible, selected by the state of WSL1 and WSL2 as shown in the following table.

WSL1	WSL2	Noise shaper	Input bit length	Output bit length
HIGH	HIGH	Off	18 bits	20 bits
HIGH	LOW	On	18 bits	18 bits
LOW	HIGH	On	16 bits	18 bits
LOW	LOW	On	16 bits	16 bits

## Audio Data Input (DIN, BCKI, LRCI)

The input data is in 16/18-bit serial, 2s complement, MSB first format.

Serial input data on DIN is clocked into an SIPO (serial in, parallel out) register on the rising edge of the BCKI bit clock, and then converted to parallel data.

SIPO output data is transferred into the left and right-channel input registers on the falling edge and rising edge, respectively, of the LRCI clock.

The internal arithmetic operation and output circuit timing is independent of the input timing. Accordingly, phase differences between LRCI, BCKI and CKI do not affect device operation, and any jitter in the data input clock does not cause jitter in the output clock.

Note that the device should be reset if either or both of the LRCI and CKI clocks stop. If the device is not reset, even though the clocks are low frequency, incorrect circuit operation may occur, generating unwanted output noise.

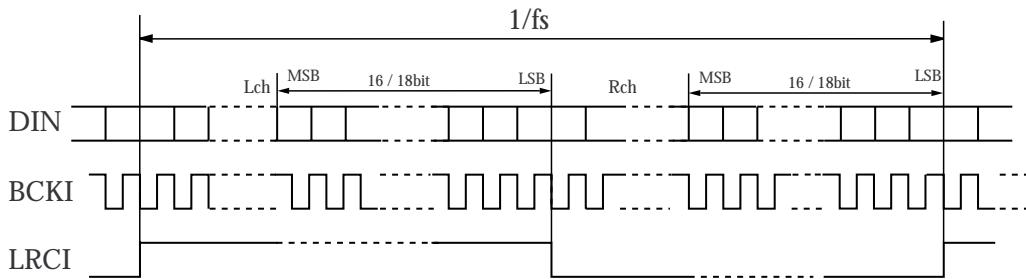


Figure 3. Audio data input timing

## Audio Data Output (DOL, DOR, BCKO, WCKO, OFST)

The output data is in 16/18/20-bit serial, 8fs, simultaneous left and right-channel, 2s complement, MSB first format.

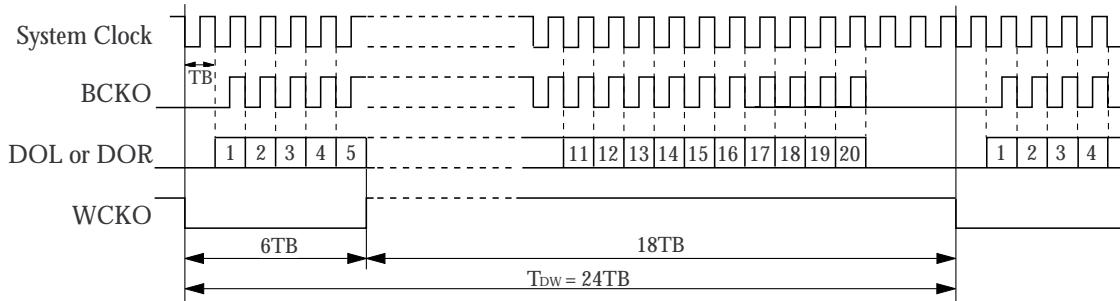
A DC offset can be added to arithmetic data before the data is output to reduce the D/A converter zero-crossing distortion for very small input signals. The offset added is approximately 0.8% of full-scale for the corresponding output bit length, as shown below.

- 512 LSB for 16-bit output
- 2048 LSB for 18-bit output
- 8192 LSB for 20-bit output

The DC offset is added to the output when OFST is HIGH. DC offset is OFF when OFST is LOW.

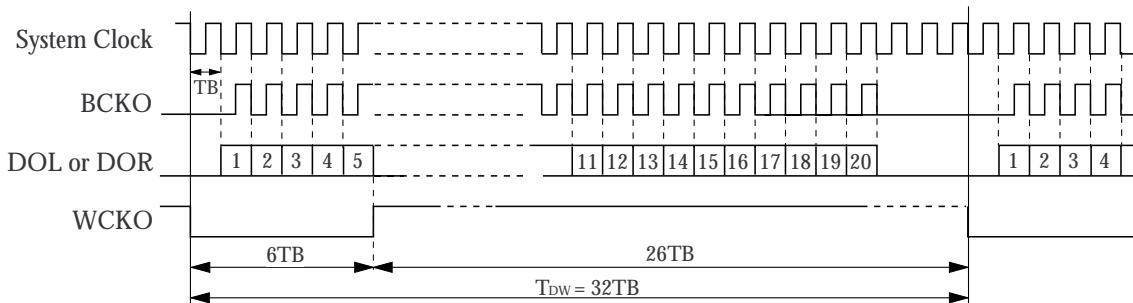
8fs serial data is output on independent DOL and DOR channels, in sync with the falling edge of the internal system clock and BCKO clock. The number of BCKO bit clock pulses per word changes depending on the output bit length selected (16/18/20 bits). Consequently, output data is latched into the D/A converter internal register on the falling of the edge of an output word clock WCKO, which has timing independent of the number of output bits as specified in the following table.

Parameter	Symbol	$\overline{\text{CKSL}} = \text{HIGH}$	$\overline{\text{CKSL}} = \text{LOW}$
Bit clock rate	$T_B$	$t_{\text{SYS}} (1/192\text{fs})$	$t_{\text{SYS}} (1/256\text{fs})$
Data word length	$T_{\text{DW}}$	$24T_B$	$32T_B$



The number of output bits is determined by the output bit length selected.

Figure 4. 8fs data output timing ( $\overline{\text{CKSL}} = \text{HIGH}$ )



The number of output bits is determined by the output bit length selected.

Figure 5. 8fs data output timing ( $\overline{\text{CKSL}} = \text{LOW}$ )

## System Reset and Output Muting (RST)

### System reset

The SM5841H must be reset at power-ON by applying a LOW-level pulse on  $\overline{\text{RST}}$ .

At system reset, the arithmetic and output timing counters are reset on the next LRCI start edge, as long as the CKI clock has already stabilized.

The power-ON reset pulse can be applied by a microcontroller or, for systems where CKI and LRCI are stable at power-ON, by connecting a 300 pF capacitor between  $\overline{\text{RST}}$  and VSS. For systems that do not use a microcontroller, the capacitor must be chosen such that the CKI and LRCI clocks fully stabilize before  $\overline{\text{RST}}$  goes from LOW to HIGH.

If the system clock is interrupted or is corrupted by jitter, after power-ON reset and all internal timing is synchronized, such that a timing error greater than  $\pm 3/8 \times f_{\text{LRCI}}$  occurs, the internal timing is automatically reset on the next LRCI start edge. This resynchronization affects the internal operation and can generate a momentary click noise output.

### Output muting

When  $\overline{\text{RST}}$  goes LOW, the DOL and DOR outputs go LOW, immediately muting the output signal, and they remain LOW for intervals in word units. Muting is released and timing is synchronized on the 3rd rising edge of LRCI after  $\overline{\text{RST}}$  goes HIGH. Note that during muted output, the BCKO and WCKO clocks do not stop.

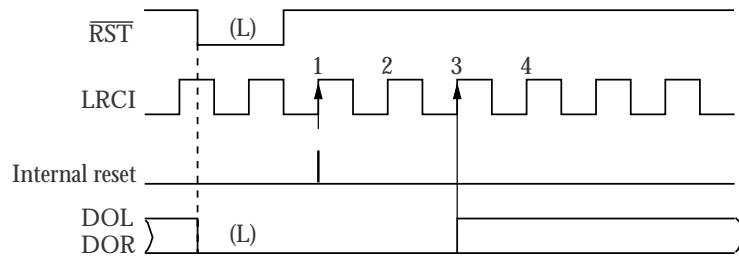


Figure 6. System reset timing and output muting

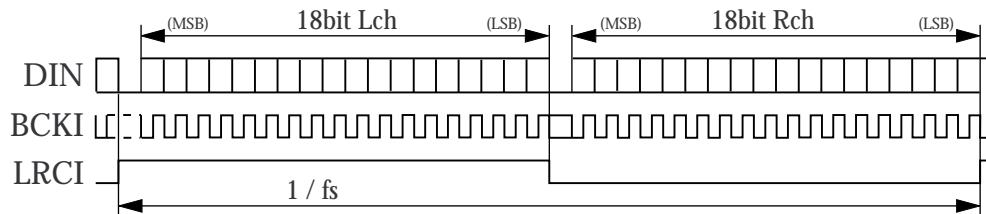
**TIMING DIAGRAMS****Input Timing Examples (DIN, BCKI, LRCI)**

Figure 7. 18-bit input timing

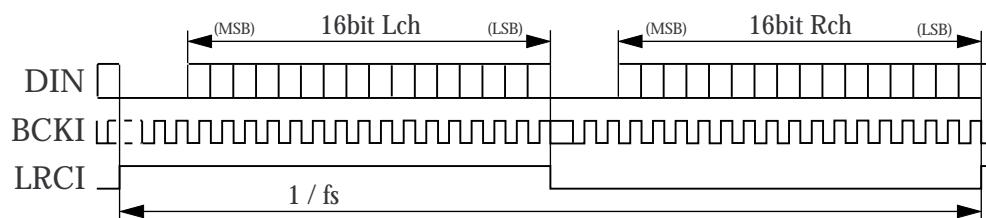
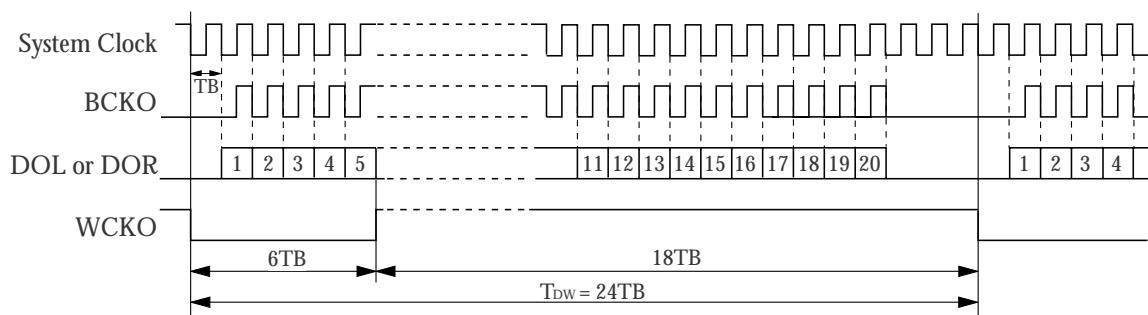


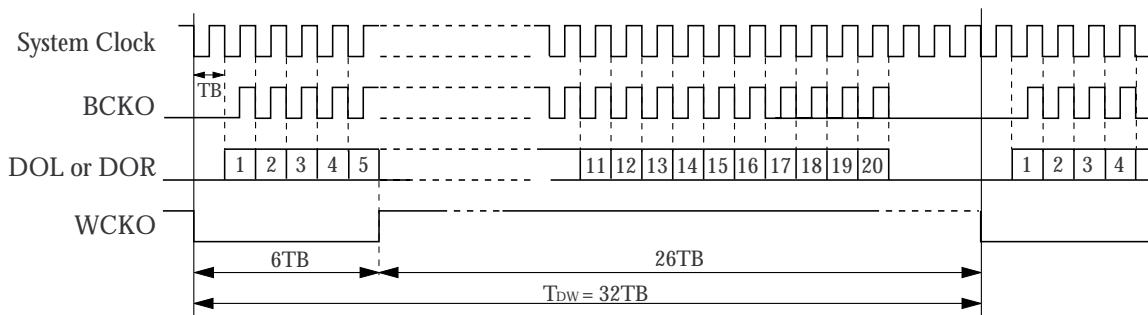
Figure 8. 16-bit input timing

### Output Timing Examples (DOL, DOR, BCKO, WCKO)



The number of output bits is determined by the output bit length selected.

Figure 9. 8fs data output timing ( $\overline{\text{CKSL}} = \text{HIGH}$ )

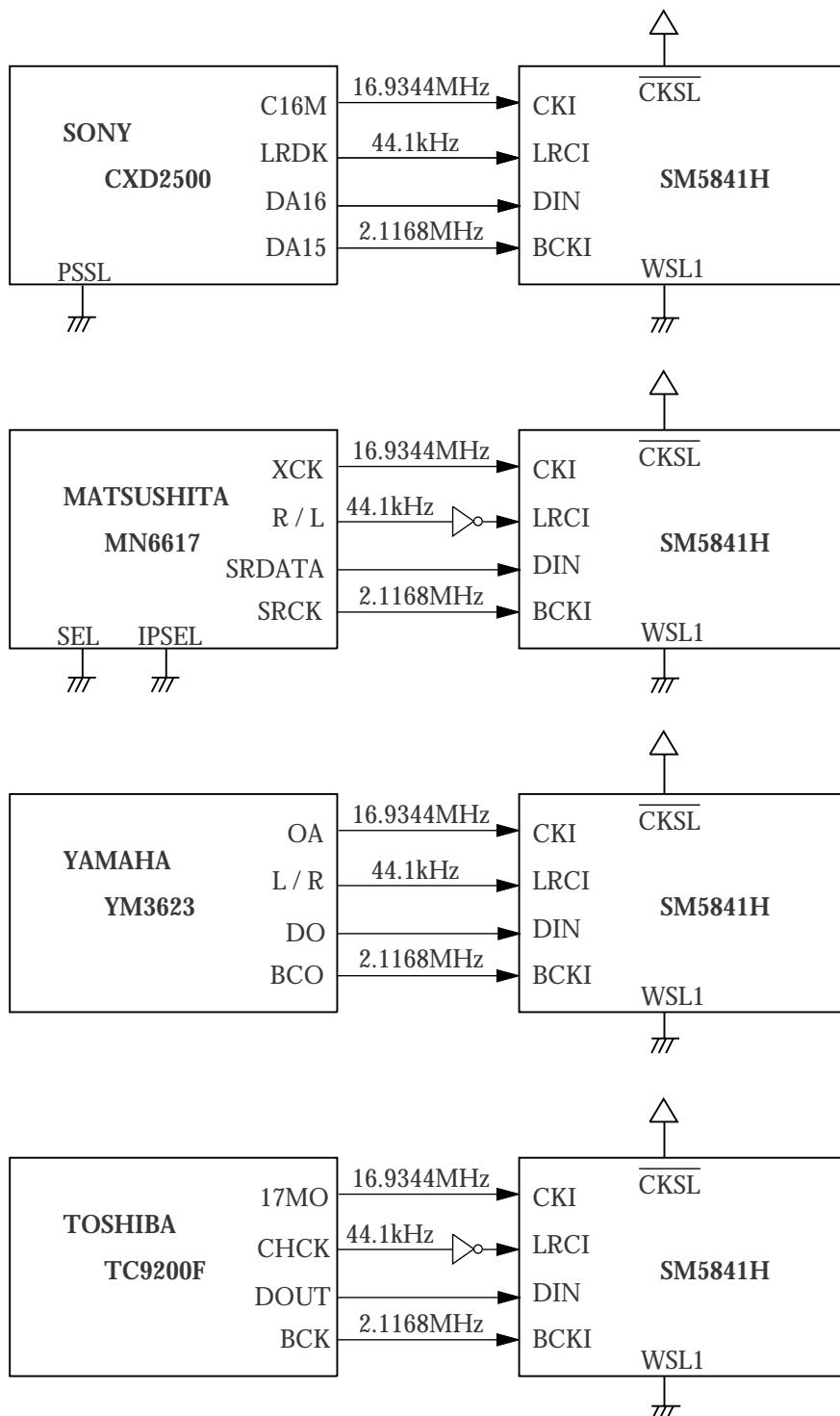


The number of output bits is determined by the output bit length selected.

Figure 10. 8fs data output timing ( $\overline{\text{CKSL}} = \text{LOW}$ )

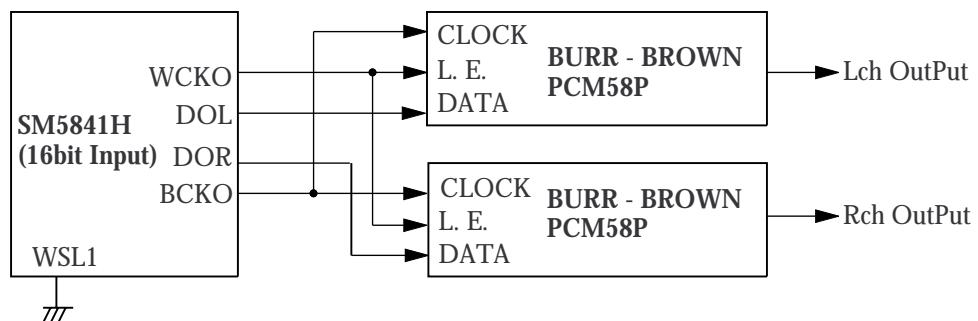
## APPLICATION CIRCUITS

### Input Interface Circuits



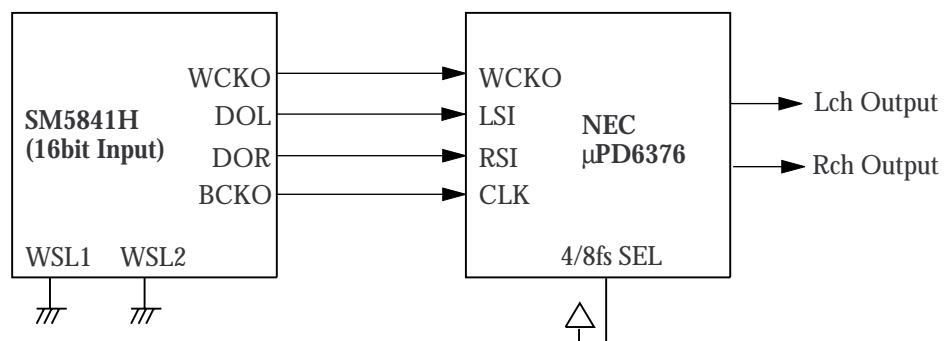
## Output Interface Circuits

### 18-bit, 2-DAC (8fs L+R output mode)



This example is for 16-bit input mode, so WSL1 is tied HIGH. For 18-bit mode, WSL1 is tied LOW.

### 16-bit, 1-DAC (8fs L+R output mode)



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